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Assistant Commissioner for Patents

Washington, D.C. 20231

Debra Ann DeBello



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Paulson et al.

Application No.: Unassigned

Filed: February 21, 2002

For: PRACTICAL IN VITRO SIALYLATION OF RECOMBINANT

GLYCOPROTEINS

Examiner:

Manjunath N. Rao (parent)

Art Unit:

1652 (parent)

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/007,741, filed 01/15/98 (Attorney Docket No. 019957-011210US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Paulson et al. Application No.: Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Joseph R. Snyder Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: 925 472-5000

Fax: 925-472-8895

JS:dad

WC 9037858 v1

Approved for use through 10/31/2002, OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute	e for form 1449A/PTO			Complete if Known	Þ
			Application Number	Unassigned	
INFC	RMATION	DISCLOSURE	Filing Date	February 21, 2002	
STA	TEMENT BY	APPLICANT	First Named Inventor	Paulson, James C.	
			Group Art Unit	1652 (parent)	
	(use as many shee	ets as necessary)	Examiner Name	Manjunath N. Rao (parent	
Sheet	1	of 3	Attorney Docket Number	019957-011212US	万

U.S. PATENT DOCUMENTS									
Examiner Initials *	Cite No.'	U.S. Patent Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY					
	AA	5047335	J. Paulson et al.	09/10/91					
	AB	5272066	M.L.E. Bergh et al.	12/21/93					
	AC	5374541							
	AD	5541083	J.C. Paulson et al.	07/30/96					

				FOREIGI	N PATENT DOCU	MENTS		
		Foreign Patent Document			Name of Patentee	Date of Publication of	Pages, Columns, Lines,	
Examiner Initials*	Cite No.1	Office ³	Number⁴	Kind Code ⁵ (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ⁶
- · · · ·	AE	PCT	WO 92/1664	40				
	AF	PCT	WO 94/256	15				

Examiner Signature	Date Considered	

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO	Complete if Known			
INFORMATION BIOM COURT	Application Number	Unassigned		
INFORMATION DISCLOSURE	Filing Date	November 8, 2001		
STATEMENT BY APPLICANT	First Named Inventor	Paulson, James C.		
	Group Art Unit	1652 (parent)		
(use as many sheets as necessary)	Examiner Name	Manjunath N. Rao (parent)		
Sheet 2 of 3	Attorney Docket Number	019957-011211US		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	,			
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the article (when ap					
	AG	MC Field et al., <u>Glycobiology</u> (1995) 5(5): 463-472				
	АН	A. Harduin-Lepers et al., Glycobiology (1995) 5(8): 741-758				
	AI	M Kono et al., <u>Glycobiology</u> (1997) 7(4): 469-479				
	ΑJ	M.A. Williams et al., Glycoconjugate Journal (1995) 12: 755-761				
	AK	L. Borsig et al, Biochemical and Biophysical Research Communictaions (May 5, 1995) 210(1): 14-20				
	AL	M. Gilbert et al., The Journal of Biological Chemistry (November 8, 1996) 271(45): 28271-28276				
	АМ	C.F. Goochee et al. "The Oligosaccharides of Glycoproteins: Bioprocess Factors Affecting Oligosaccharide Structure and Their Effect on Glycoprotein Properties," Review, Dept. of Chemical Engineering, stanford Univ., Stanford, CA				
	AN	D.H. Joziasse et al., <u>Journal of Biological Chemistry</u> (Jan. 25, 1985) 260(2) 714-719				
	AO	D.H. Joziasse et al., <u>Journal of Biological Chemistry</u> (Feb. 15, 1987) 262(5): 2025-2033				
	AP	J.C. Paulson et al., <u>Journal of Biological Chemistry</u> (Apr. 10, 1977) 252(7) 2363-2371				
	AQ	S. Tsuji et al., <u>Glycobiology</u> (1996) 6(7): v-xiv				
	AR	A. Varki, <u>Glycobiology</u> (1992) 2(1): 25-40				
	AS	J. Weinstein et al., <u>Journal of Biological Chemistry</u> (Nov. 25, 1982) 257(22): 13845-13853				
	AT	T. Yamamoto et al., <u>J. Biochem.</u> (1996) 120: 104-110				
	AU	J.C. Yeh and R.D. Cummings, "Differential Recognition of Glycoprotein Acceptors by Terminal Glycosyltransferases," Dept. of Biochemistry and Molecular Biology, Univ. of Oklahoma Health Sciences Center, Oklahoma City, OK				

Examiner	Date	
Signature	Considered	



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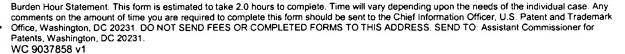
Substitute for form 1449B/PTO Complete if Known **Application Number** Unassigned INFORMATION DISCLOSURE November 8, 2001 **Filing Date** STATEMENT BY APPLICANT Paulson, James C. First Named Inventor Group Art Unit 1652 (parent) (use as many sheets as necessary) **Examiner Name** Manjunath N. Rao (parent) 019957-011211US Sheet of Attorney Docket Number

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS								
Examiner Initials *	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issu number(s), publisher, city and/or country where published.								
	AV	Goochee et al., <u>Bio/Technology</u> (1991) 9: 1347-1355							
	AW	Wen et al. <u>J. Biol. Chem.</u> (1992) 267: 21011							
	AX	Van den Eijnden et al. <u>J. Biol. Chem.</u> (1981) 256. 3159							
	AY	Sasaki et al. <u>J. Biol. Chem.</u> (1993) 268: 22782-22787							
	AZ	Kitagawa & Paulson <u>J. Biol. Chem.</u> (1994) 269: 1394-1401							
	ААА	Kitagawa et al. <u>J. Biol. Chem.</u> (1996) 271: 931-938							
	ABB	Paulson et al. <u>J. Biol, Chem.</u> (1978) 253: 5617-5624							
	ACC	Wallenfels et al. <u>Meth. Enzymol.</u> (1966) 9: 112-116							

Examiner	Date	
Signature	Considered	

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Attorney Docket No.: 019957-011212US

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Assistant Commissioner for Patents

Washington, D.C. 20231

Debra Ann DeBello



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Paulson et al.

Application No.:

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GLYCOPROTEINS

Examiner:

Manjunath N. Rao (parent)

Art Unit:

1652 (parent)

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

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Paulson et al. Application No.: Page 2

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Substitut	te for form 1449	APTO		Complete if Known			
				Application Number	Unassigned		
INFO	PRMATI	ON DIS	CLOSURE	Filing Date	February 21, 2002		
STATEMENT BY APPLICANT				First Named Inventor	Paulson, James C.		
• • • • • • • • • • • • • • • • • • • •				Group Art Unit	1652 (parent)		
	(use as mai	ny sheets as	necessary)	Examiner Name	Manjunath N. Rao (parent)		
Sheet	1	of	1	Attorney Docket Number	019957-011212US		

U.S. PATENT DOCUMENTS									
Examiner Initials *	Cite No.1	Cite No. 1 Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
4	AA	5834251		Maras et al.	11/10/98				
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	T	Foreign Patent Document			Name of Datastan	Date of Publication of	Pages, Columns, Lines, Where Relevant		
Examiner Initials*	Cite No.1	Office ³	Number⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶	
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